

Formal Equivalences Analysis

Francisco J. Valverde-Albacete and Carmen Peláez-Moreno and
Pablo Cordero-Ortega and Inma de las Peñas and Manuel
Ojeda-Aciego

²Dep. Matemática Aplicada Universidad de Málaga

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Outline

- 1 Motivación
- 2 Plan de Ataque
- 3 Partition algebra
- 4 Towards Formal Equivalence Analysis

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Consideraciones Iniciales. . .

En un interesante “final remarks” de su artículo seminal sobre FCA, Wille renunció a cualquier atisbo de exhaustividad en su propuesta de reestructuración de la teoría de retículos y recomendó:

“Besides the interpretation by hierarchies of concepts, other basic interpretations of lattices should be introduced; . . . ”^a

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Con esta perspectiva, debieramos considerar. . .

- Qué **otra información porta un contexto formal**.
- Qué pueden ser **conceptualizaciones alternativas** de esa información.

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Theorem

Sea el *contexto formal* (G, M, R) en donde,

- G es un conjunto de *objetos formales*,
- M es un conjunto de *atributos formales*,
- $I \in 2^{g \times m}$ es una *relación de incidencia*.

Entonces

(a) La *fase de análisis del contexto*.

(i) The *polar operators* $\cdot^\uparrow : 2^G \rightarrow 2^M$ and $\cdot^\downarrow : 2^M \rightarrow 2^G$.

$$A^\uparrow = \{m \in M \mid \forall g \in A, glm\} \quad B^\downarrow = \{g \in G \mid \forall m \in B, glm\}$$

form a *Galois connection* $(\cdot^\uparrow, \cdot^\downarrow) : 2^G \bowtie 2^M$ whose *formal concepts* are the pairs (A, B) of closed elements such that $A^\uparrow = B \Leftrightarrow A = B^\downarrow$ whence

$$\mathfrak{B}(G, M, I) = \{(A, B) \in 2^G \times 2^M \mid A^\uparrow = B \Leftrightarrow A = B^\downarrow\}$$

Theorem

- (a) Concepts are partially ordered with the *hierarchical order* as

$$(A_1, B_1) \leq (A_2, B_2) \Leftrightarrow A_1 \subseteq A_2 \Leftrightarrow B_1 \supseteq B_2.$$

and the set of formal concepts with the hierarchical order $\langle \mathfrak{B}(G, M, I), \leq \rangle$ is a complete lattice $\mathfrak{B}(G, M, I)$ called the *concept lattice of (G, M, I)* .

- (b) In $\mathfrak{B}(G, M, I)$ infima and suprema are given by:

$$\bigwedge_{t \in T} (A_t, B_t) = \left(\bigcap_{t \in T} A_t, \left(\bigcup_{t \in T} B_t \right)^{\uparrow} \right) \quad \bigvee_{t \in T} (A_t, B_t) = \left(\left(\bigcup_{t \in T} A_t \right)^{\downarrow}, \bigcap_{t \in T} B_t \right)$$

- (c) The mappings $\bar{\gamma}: G \rightarrow V$ and $\bar{\mu}: M \rightarrow V$

$$g \mapsto \bar{\gamma}(g) = (\{g\}^{\downarrow}, \{g\}^{\uparrow}) \quad m \mapsto \bar{\mu}(m) = (\{m\}^{\downarrow}, \{m\}^{\downarrow\uparrow})$$

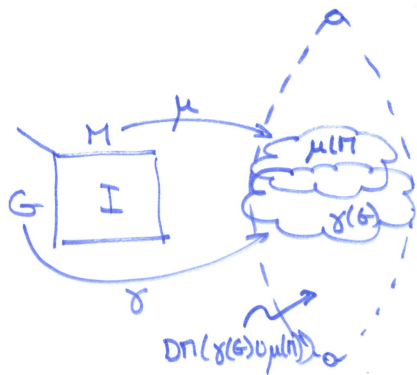
are such that $\bar{\gamma}(G)$ is *supremum-dense* in $\mathfrak{B}(G, M, I)$, $\bar{\mu}(M)$ is

Theorem

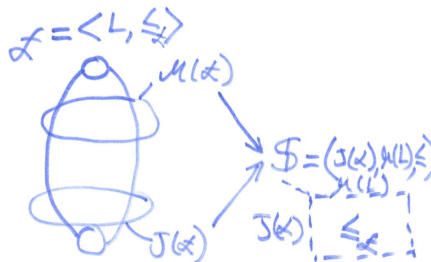
(b) *La fase de síntesis del contexto*

- (i) A complete lattice $\mathcal{V} = \langle V, \leq \rangle$ is isomorphic to (read can be built as) $\underline{\mathfrak{B}}(G, M, I)$ if and only if *there are mappings $\bar{\gamma}: G \rightarrow V$ and $\bar{\mu}: M \rightarrow V$ such that*
- $\bar{\gamma}(G)$ is supremum-dense in \mathcal{V} , $\bar{\mu}(M)$ is infimum-dense in \mathcal{V} , and
 - gIm is equivalent to $\bar{\gamma}(g) \leq \bar{\mu}(m)$ for all $g \in G$ and all $m \in M$.
- (ii) In particular, $\mathcal{V} \cong \underline{\mathfrak{B}}(V, V, \leq)$ using the assignments $G := J(\mathcal{V})$ and $M := M(\mathcal{V})$ where these are the sets of join- and meet-irreducibles, respectively, of \mathcal{V} .

Una Especie de Transformada...



a) Analysis.



$$\mathcal{L} \cong \underline{B}(S(\mathcal{L}))$$

$$\cong B(L, L, \leq)$$

b) Synthesis.

Figure: Las dos fases de FCA como una Transformada de Contextos: a) Análisis y b) Síntesis.

FCA tiene “Puntos Ciegos”

Los polares del contexto generan relaciones de equivalencia

Usando las funciones generadoras de contexto:

$$\begin{aligned}(g_1, g_2) \in \ker \bar{\gamma} &\iff \bar{\gamma}(g_1) = \bar{\gamma}(g_2) \\ (m_1, m_2) \in \ker \bar{\mu} &\iff \bar{\mu}(g_1) = \bar{\mu}(g_2)\end{aligned}$$

El **contexto purificado o reducido** resintetizado por la parte de síntesis del Teorema Fundamental es

$$\mathfrak{S}(\mathfrak{B}(G, M, I)) = (G/\ker \bar{\gamma}, M/\ker \bar{\mu}, I')$$

donde $([g]_{\ker \bar{\gamma}}, [m]_{\ker \bar{\mu}}) \in I' \iff glm$.

Los elementos individuales de tales clases no están contenidos en \mathcal{V} y no se pueden recuperar.

FCA tiene un “punto ciego” en
las clases de equivalencia de los polares.

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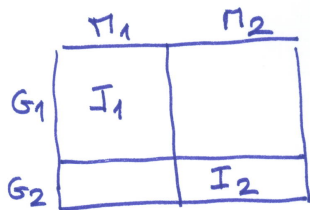
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FCA tiene “Espejismos” (I)

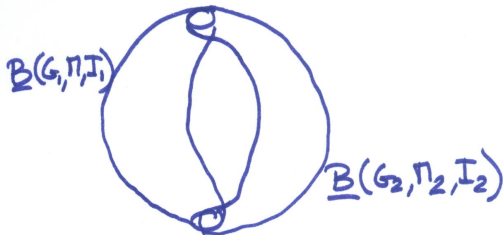
Los contextos formales diagonales por bloques son “especiales”.

- Cada bloque es independiente de los demás.
- Lo esperable es que cada bloque genere su propio retículo conceptual.

Pero FCA fuerza a que los retículos compartan \top y \perp .



a) Blocked formal context



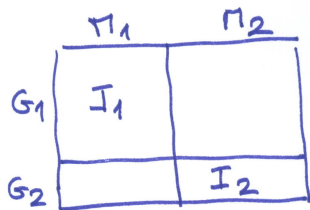
b) Adjoined sublattices.

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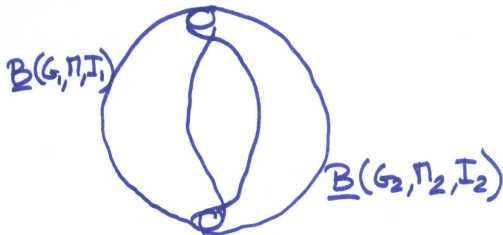
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a) Blocked formal context



b) Adjoined sublattices.

FCA no extrae *toda* la información de un contexto formal.

- FCA ignora las equivalencias entre objetos y atributos inducidas por los polares.

FCA introduce cierta “información visual” en el retículo.

- FCA fuerza relaciones jerárquicas donde no las hay.

Necesitamos otras “lentes” para mirar en los “puntos ciegos” y deshacer los “espejismos” del FCA sobre un contexto formal.

Formal XX Analysis

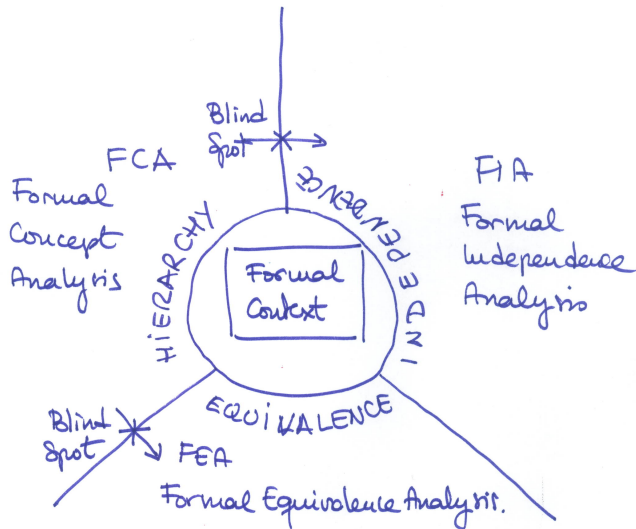


Figure: A variety of formal analysis around a formal context are conceivable: formal concept analysis around the notion of hierarchy as captured by upper

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Componentes de un “Formal X Analysis”

Si analizamos el Teorema Fundamental, vemos que hay ciertos “ingredientes” fundamentales.

- Una conexión (adjunción) de Galois entre los conjuntos potencia de objetos y atributos, de forma que los pares de subconjuntos estables capturen un “sabor” del retículo.
 - FCA => Los conjuntos de cotas sup. e inf. capturan “jerarquía”
- Un “gránulo” que captura nuclearmente dicho “sabor”.
 - FCA => Supremo- e ínfimo-irreducibles.
- Un par de funciones (no inyectivas!) de los objetos formales y los atributos en los gránulos:
 - FCA => $\bar{\gamma}$ y $\bar{\mu}$ generadores de conceptos.
- Un teorema de representación en función de los “gránulos” de determinada capacidad (universal, lo mejor).
 - FCA => Teorema de Dedekind-McNeille $\mathcal{L} \equiv DM(\mathcal{J}(\mathcal{L}) \cup \mathcal{M}(\mathcal{L}))$

Ejemplo: Formal Independence Analysis

Sea un orden parcial finito $\mathcal{P} = \langle P, \leq \rangle$

- Las anticadenas capturan la noción de no-relación.
- No conocemos un teorema de representación pero existe...

Theorem (Behrendt's representation theorem)

Let $\mathcal{L} = \langle L, \leq \rangle$ be a lattice. Then there exists a poset $\mathcal{P} = \langle P, \leq_P \rangle$ such that $|P| = 2|L|$, where any chain has at most 2 elements and such that \mathcal{L} is isomorphic to the lattice of maximal antichains of (P, \leq_P) .

$$\mathcal{L} \cong MA(\mathcal{P})$$

- Esto sugiere que las anticadenas maximales (y minimales para el dual) son los “gránulos”.
- Existen conexiones de Galois entre los conjuntos de cotas superiores e inferiores y las anticadenas maximales y minimales.

El Teorema Fundamental del FIA (1/3)

Theorem (Basic theorem of formal independence analysis (Valverde, Peláez, Cabrera, Cordero, Ojeda, 2018))

(a) *The context analysis phase*: Given a formal context (G, M, I) ,

(i) *The context operators* $\cdot \sim : 2^G \rightarrow 2^M$ and $\cdot \sim : 2^M \rightarrow 2^G$

$$\alpha \sim = M \setminus \uparrow \alpha$$

$$\beta \sim = G \setminus \downarrow \beta$$

form a right-Galois connection $(\cdot \sim, \cdot \sim) : (2^G, \subseteq) \rightleftarrows (2^M, \subseteq)$ whose formal tomoi are the pairs (α, β) such that $\alpha \sim = \beta$ and $\alpha = \beta \sim$.

(ii) *The set of formal tomoi* $\underline{\mathfrak{A}}(G, M, I)$ with the relation

$$(\alpha_1, \beta_1) \leq (\alpha_2, \beta_2) \text{ iff } \alpha_1 \supseteq \alpha_2 \text{ iff } \beta_1 \subseteq \beta_2$$

is a complete lattice, which is called the *tomoi lattice of (G, M, I)* and denoted $\underline{\mathfrak{A}}(G, M, I)$, where infima and suprema are given by:

$$\bigwedge_{t \in T} (\alpha_t, \beta_t) = \left(\bigcup_{t \in T} \alpha_t, \left(\bigcap_{t \in T} \beta_t \right) \sim \right) \quad \bigvee_{t \in T} (\alpha_t, \beta_t) = \left(\left(\bigcap_{t \in T} \alpha_t \right) \sim, \bigcup_{t \in T} \beta_t \right)$$

El Teorema Fundamental del FIA (1/3)

Theorem

(a) *The mappings $\bar{\gamma} : G \rightarrow \underline{\mathfrak{A}}(G, M, I)$ and $\bar{\mu} : M \rightarrow \underline{\mathfrak{A}}(G, M, I)$*

$$g \mapsto \bar{\gamma}(g) = (\{g\}_{\sim}, \{g\}_{\sim}) \quad m \mapsto \bar{\mu}(m) = (\{m\}_{\sim}, \{m\}_{\sim})$$

are such that $\bar{\gamma}(G)$ is infimum-dense in $\underline{\mathfrak{A}}(G, M, I)$, $\bar{\mu}(M)$ is supremum-dense in $\underline{\mathfrak{A}}(G, M, I)$.

(b) *The **context synthesis phase**: Given a complete lattice $\mathbb{L} = \langle L, \leq \rangle$*

(i) *\mathbb{L} is isomorphic to^a $\underline{\mathfrak{A}}(G, M, I)$ if and only if there are mappings $\bar{\gamma} : G \rightarrow L$ and $\bar{\mu} : M \rightarrow L$ such that*

- $\bar{\gamma}(G)$ is infimum-dense in \mathbb{L} , $\bar{\mu}(M)$ is supremum-dense in \mathbb{L} , and*
- $g \sqcap m$ is equivalent to $\bar{\gamma}(g) \sqcap \bar{\mu}(m)$ for all $g \in G$ and all $m \in M$.*

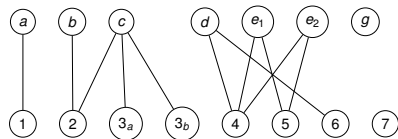
(ii) *In particular, $\mathbb{L} \cong \underline{\mathfrak{A}}(L, L, \sqcap)$ and, if L is finite, $\mathbb{L} \cong \underline{\mathfrak{A}}(M(\mathbb{L}), J(\mathbb{L}), \sqcap)$ where $M(\mathbb{L})$ and $J(\mathbb{L})$ are the sets of meet- and join-irreducibles, respectively, of \mathbb{L} .*

^aRead can be built as.

Example context

\mathbb{K}_1	a	b	c	d	e1	e2	g
1	x						
2		x	x				
3a			x				
3b			x				
4				x	x	x	
5					x	x	
6				x			
7							

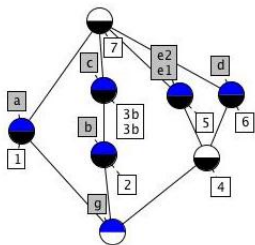
(a) Tabular representation of \mathbb{K}_1



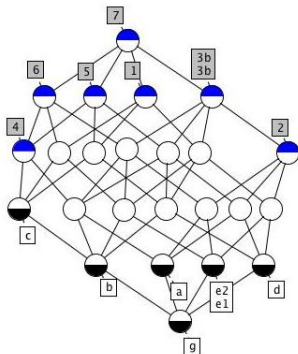
(b) Bipartite graph representation of \mathbb{K}_1

Figure: Equivalent representations of an example context $\mathbb{K}_1 = (G, M, I)$.

(a) tabular representation. (b) bipartite graph representation.



(a) Concept lattice $\mathfrak{B}(G, M, I)$



(b) Tomoi lattice $\mathfrak{A}(G, M, I)$

Figure: Two different lattices for context $\mathbb{K}_1 = (G, M, I)$ (a) lattice of formal concepts *showing* three adjoint sublattices, and (b) lattice of formal tomoi, *describing* the three adjoint sublattices.

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Carrying formal analysis on partitions

We have seen that:

- There are **partitions** $\ker \bar{\gamma}$ and $\ker \bar{\mu}$ related to a formal context.
- The FCA procedure is blind to the classes in these partitions, that is, **there is an information loss in the process of FCA.**
- There is **a notion of “mutual determination” of objects and attributes**, whether from the qualitative point of view of Psychology and Cognition, or from the purely quantitative of Information Theory.

Define the *coarsening order*:

Let $\text{Part}(G)$ denote the set of partitions over G .

$$\pi \leq \sigma \iff \forall g_1, g_2 \in G, g_1 \equiv g_2(\pi) \text{ implies that } g_1 \equiv g_2(\sigma)$$

The lattice of partitions of a set

Theorem

Let G be a set. Then $\text{Part}(G) = \langle \Pi(G), \subseteq \rangle$ is a complete lattice, called the partition lattice (or equivalence lattice of G) where:

- The bottom of $\text{Part}(G)$ is $\iota_G = \{\{g\} \mid g \in G\}$ is the set of trivial blocks.
- The top of $\text{Part}(G)$ is $\omega_G = \{G\}$.
- The meet of partitions $\{\pi_i \mid i \in I\}$ is defined, for all $g_1, g_2 \in G$ as:

$$g_1 \equiv g_2 (\wedge_{i \in I} \pi_i) \iff \forall i \in I, g_1 \equiv g_2 (\pi_i)$$

- The join of partitions $\{\pi_i \mid i \in I\}$ is defined, for all $g_1, g_2 \in G$ as:

$$g \equiv d(\vee_{i \in I} \pi_i) \iff$$

there is a natural number n , a subset $J = \{i_0, \dots, i_n\} \subseteq I$, and $g_0, \dots, g_{n+1} \in G$ such that $g = g_0, \dots, d = g_{n+1}$ and $g_j = g_{j+1}(\pi_{i_j})$, for $0 < j < n$

More partitions

Theorem (Continued)

- *The atoms of $\text{Part}(G)$ are the partitions with exactly one non-trivial block and this block has two elements.*
- *The co-atoms of $\text{Part}(G)$ are the partitions with exactly two blocks.*
- *The covering relation in $\text{Part}(G)$ holds $\pi \prec \sigma$ iff σ is the result of replacing two blocks of π by their union.*

Proposition

Let G be a set and consider $\pi \in \Pi(G)$. Then,

- *$\uparrow \pi \subseteq \text{Part}(G)$ is isomorphic to the partition lattice of the set π ,
 $\uparrow \pi \cong \text{Part}(\pi)$.*
- *$\downarrow \pi \subseteq \text{Part}(G)$ is isomorphic to direct product of $\text{Part}(X)$ where X ranges over the non-trivial blocks of π ,
 $\downarrow \pi \cong \prod_{X \text{ non trivial in } \pi} \text{Part}(X)$.*

La “bombilla” de las particiones



Figure: Sketch of the lattice of partitions with the filter and ideal of $\pi \in \text{Part}(G)$ drawn.

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The Analysis Part

The “reduced” formal context

Let $\mathbb{K} = (G, M, I)$ be a formal context between a set of objects G and attributes M related by $I \in G \times M$.

$$(g_1, g_2) \in \ker \bar{\gamma} \iff \bar{\gamma}(g_1) = \bar{\gamma}(g_2) \quad (m_1, m_2) \in \ker \bar{\mu} \iff \bar{\mu}(g_1) = \bar{\mu}(g_2) \quad (1)$$

and define the *reduced* [2] or *purified* [1] formal context $\mathbb{K}_0 = (G_0, M_0, I_0)$ where $G_0 = G/\ker \bar{\gamma}$, $M_0 = M/\ker \bar{\mu}$ and $([g]_{\ker \bar{\gamma}}, [m]_{\ker \bar{\mu}}) \in I_0 \iff glm$.

We already have two “lattices of partitions” induced.

$$\text{Part}(\ker \bar{\gamma}) \cong \uparrow \ker \bar{\gamma} \subseteq \Pi(G) \quad \text{Part}(\ker \bar{\mu}) \cong \uparrow \ker \bar{\mu} \subseteq \Pi(M) \quad (2)$$

Looking for meet irreducibles

Assumption

The minimal distinction of objects in terms of their attributes must come from the partitions $\pi(m) = \{m^\downarrow, G \setminus m^\downarrow\}$.

$$\forall m \in M, \pi(m) = \{([m]_{\ker \bar{\mu}})^\downarrow, G \setminus ([m]_{\ker \bar{\mu}})^\downarrow\} \quad (3)$$

- The dual is not so important cognitively speaking:

$$\forall g \in G, \sigma(g) = \{([g]_{\ker \bar{\gamma}})^\uparrow, M \setminus ([g]_{\ker \bar{\gamma}})^\uparrow\} \quad (4)$$

- There are at most $|\ker \bar{\gamma}|$ and $|\ker \bar{\mu}|$ of those partitions.
- Both types of partitions are co-atomic in $\Pi(M)$ and $\Pi(G)$,
- respectively.

$$\ker \bar{\gamma} \leq \bigwedge_{m \in M} \pi(m) \qquad \ker \bar{\mu} \leq \bigwedge_{g \in G} \sigma(g) \quad (5)$$

Looking for joint irreducibles

Informal reasoning:

- The easiest partition to work with using joins are the identities ι_G and ι_M since they are the join null elements.
- If the only non-trivial block of σ is $B \subset M$, then the effect of joining $\sigma' \vee \sigma$ is to collapse all of the classes that have elements of B .
- So in general for all $B \subseteq G$, we define $\sigma(B) = \{B\} \cup \iota_{M \setminus B}$ as a partition that is “suitably” low in $\Pi(M)$.
- The **object-induced attribute partitions** that we propose as elements of the join-dense set are:

$$\sigma(g) = \{g^\uparrow, \iota_{M \setminus g^\uparrow}\} \quad (6)$$

The type of connection

By the ideas exposed above, we are aiming at **building a left adjunction over partitions of objects and attributes** (required by the analysis part of the theorem) $(\cdot^*, \cdot_*) : \Pi(G) \rightleftharpoons \Pi(M)$. The idea is:

- to have **a left adjoint that is a join morphism**, so that the joins of object partitions can be carried out as the (attribute) joins of their images (with easy-to-calculate images, of course)

$$(\pi_1 \vee \pi_2)^* = (\pi_1)^* \vee (\pi_2)^* \quad (7)$$

- to have **a right adjoint that is a meet morphism**, so that the meets of attribute partitions can be carried out as the (object) meets of their images.

$$(\sigma_1 \wedge \sigma_2)_* = (\sigma_1)_* \wedge (\sigma_2)_* \quad (8)$$

The Synthesis Part

Theorem (Whitman [whi:46])

Every lattice can be embedded in some partition lattice.

For now, **suppose** that we find an algorithm to obtain a lattice of partitions related to a context \mathbb{K} and we denote the resulting lattice by $\mathfrak{P}(\mathbb{K})$.

The synthesis part of the Fundamental Theorem of FEA would be:

Lemma (**To be proven!**)

Given L , there is a context $\mathbb{K}(L)$, systematically obtainable from L , whose partition lattice is isomorphic to it $L \cong \mathfrak{P}(\mathbb{K}(L))$

A picture is worth a thousand words

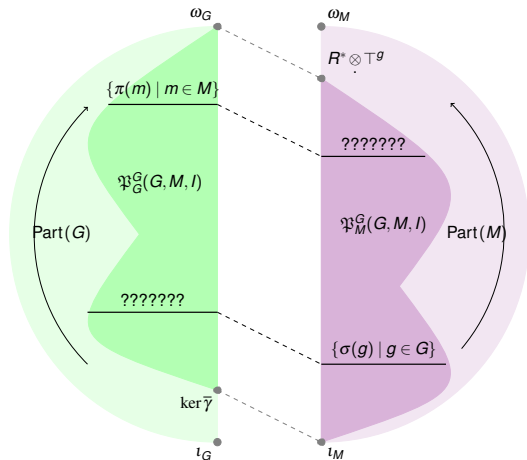


Figure: Intended schematics of the adjunction $\mathfrak{P}^G(G, M, I)$ between $\text{Part}(G)$ and $\text{Part}(M)$. Content-induced partitions $\mathfrak{P}_G^G(G, M, I)$ and span-induced partitions $\mathfrak{P}_M^G(G, M, I)$ are isomorphic.

A preliminary example

	a	b	c	d	e	f
1	×				×	
2		×	×		×	×
3a			×		×	
3b			×		×	

(a) (G, M, I) .

$$R_I = \begin{bmatrix} \top & \cdot & \cdot & \cdot & \top & \cdot \\ \cdot & \top & \top & \cdot & \top & \top \\ \cdot & \cdot & \top & \cdot & \top & \cdot \\ \cdot & \cdot & \top & \cdot & \top & \cdot \end{bmatrix}$$

(b) (G, M, R_I)

Figure: A context and an encoding R_I of the incidence I into a complete semifield $\overline{\mathcal{H}}$ (see text).

Example: Object and attribute “concepts” of adjunction

$$\Gamma_C = \begin{bmatrix} \top & \cdot & \cdot & \cdot \\ \cdot & \top & \top & \top \\ \cdot & \cdot & \top & \top \\ \cdot & \cdot & \top & \top \end{bmatrix}$$

$$\Gamma_S = \begin{bmatrix} \cdot & \top & \top & \top \\ \top & \cdot & \top & \top \\ \top & \cdot & \cdot & \cdot \\ \top & \top & \top & \top \\ \cdot & \cdot & \cdot & \cdot \\ \top & \cdot & \top & \top \end{bmatrix}$$

1 2 3a 3b

$$M_C = \begin{bmatrix} \top & \cdot & \cdot & \cdot & \top & \cdot \\ \cdot & \top & \top & \cdot & \top & \top \\ \cdot & \cdot & \top & \cdot & \top & \cdot \\ \cdot & \cdot & \top & \cdot & \top & \cdot \end{bmatrix}$$

$$M_S = \begin{bmatrix} \cdot & \top & \top & \cdot & \top & \top \\ \top & \cdot & \top & \cdot & \top & \cdot \\ \top & \cdot & \cdot & \cdot & \top & \cdot \\ \top & \top & \top & \top & \top & \top \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \top & \cdot & \top & \cdot & \top & \cdot \end{bmatrix}$$

a *b* *c* *d* *e* *f*

The attribute-induced object partitions

The object partitions induced by the attributes:

$$\pi(a) = \{\{1\}, \{2, 3a, 3b\}\} = \pi(c)$$

$$\pi(b) = \{\{2\}, \{1, 3a, 3b\}\} = \pi(f)$$

$$\pi(d) = \{G, \emptyset\} = \pi(e)$$

- the bottom is the partition $\ker \bar{\gamma} = \{\{1\}, \{2\}, \{3a, 3b\}\}$.
- we have had to consider the empty set to be included in the partition to make $\pi(d) = \pi(e)$.

The object-induced attribute partitions

- The attribute partitions induced by the objects:

$$\sigma(1) = \{\{b, c, d, f\}, \{a\}, \{e\}\}$$

$$\sigma(2) = \{\{a, d\}, \{b\}, \{c\}, \{e\}, \{f\}\}$$

$$\sigma(3a) = \{\{a, b, d, f\}, \{c\}, \{e\}\} = \sigma(3b)$$

- with bottom and top:

$$\iota_M = \{\{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\}\} \quad \omega_M = \{\{a, b, c, d, f\}, \{e\}\}$$

¡Gracias!
¿Alguna pregunta?
¿Alguna sugerencia?